

Contractor Prequalification and W-9 form - 2018 California Uniform Public Construction Cost Accounting Act (CUPCCAA)

NAME For proprietorship, provide proprietor's name in first box and DBA in second box.				
Legal Business Name, Proprietor's Name or Individual's Name	Doing Business as (DBA)			

ADDRESS/CONTACT INFORM	ATION			LICENSES		
Address				License Number(s):	Classification(s):	Expiration Date:
Address						·
City	State	Zip Code				
Primary Contact		I				
Primary Contact E-mail						
Phone Number	Fax Numb	er				
Web Address	_			DIR Registration Nur	mber	
Primary/Secondary Type of Work In	nterest:					
ORGANIZATION TYPE AND T Check the appropriate box for fed who is entered as the Legal Business	eral tax class	ification of th	e person	Bonding capacity (per Name of Bonding Co		
Individual / Sole Proprietor		ability Compan	ny (LLC)	-		
Partnership	For LLC, also include the tax classification: Disregarded Entity		DISADVANTAGEI	D BUSINESS ENTERPRISE DES	IGNATION	
S-Corporation		tnership		Small business e	enterprise (SBE)	
Corporation	S-C	orporation		Minority owned	business enterprise (MBE)	
Government	Cor	poration		Women owned b	ousiness enterprise (WBE)	
Trust / Estate	Other			Disadvantaged b	ousiness enterprise (DBE)	
Supply the applicable Employee Ide Legal Business Name provided or S					n owned business enterprise (DVBE))
Tax ID:		EIN	SSN	If you checked any o	of the above, have you been certified	? Yes No
Name associated with SSN:				If yes, by which age		
DUNS #:				Certificate #:		

IRS FORM W-9 CERTIFICATION AND SIGNATURE

 Under penalties of perjury, I certify that: 1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and 2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and 3. I am a U.S. citizen or other U.S. person (as defined by IRS Form W-9 rev December 2014) 					
Cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return.					
Signature	Print Name & Title of Person Signing Form	Date			

CERTIFICATION OF THE CUPCCAA PREQUALIFICATION STATEMENT

I declare under penalty of perjury under California law that the information submitted for all three (3) pages of this CUPPCCAA prequalification form is true and correct.

 Authorized Signature
 Date

 Printed Name
 Title











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TRADE SELECTION:

Applicant must check each trade category for which the Applicant requests to be pre-qualified. An Applicant must be a California licensed contractor for the classification of Contractors' License required for each trade category for which pre-qualification is requested.

Qualification Requested; Trade Categories		Required California Contractors' License
General Engineering	A	General Engineering
General Construction	В	General Building
Insulation and Acoustical	C-2	Insulation and Acoustical
Boiler, Hot-Water Heating System Fitting	C-4	Boiler, Hot-Water Heating System Fitting
Framing and Rough Carpentry	C-5	Framing and Rough Carpentry
Cabinetry, Millwork, Finish Carpentry	C-6	Cabinet, Millwork, Finish Carpentry
General Low Voltage Electrical	C-7	Low Voltage Electrical
Telecommunications/ Data Cabling	C-7	Low Voltage Electrical
Concrete Contractor	C-8	Concrete Contractor
Drywall Contractor	C-9	Drywall Contractor
General Electrical	C-10	Electrical
Elevator Contractor	C-11	Elevator Contractor
Earthwork/Paving	C-12	Earthwork and Paving
Fence	C-13	Fencing
Flooring	C-15	Floor and Flooring
Fire Protection/Fire Alarms	C-16	Fire Protection
Glass	C-17	Glazing
HVAC	C-20	Warm-Air Heating and Air Conditioning
Moving/Demolition	C-21	Building Moving/Demolition
Asbestos Abatement	C-22	Asbestos Abatement Contractor
Ornamental Metal Contractor	C-23	Ornamental Metal Contractor
Landscaping/Irrigation	C-27	Landscaping
Doors, Locks, Security	C-28	Lock and Security Equipment
Masonry Contractor	C-29	Masonry Contractor
Construction Zone Traffic Control	C-31	Construction Zone Traffic Control
Parking Lot	C-32	Parking and Highway Improvement
Painting	C-33	Painting and Decorating
Pipeline	C-34	Pipeline
Lathing and Plastering	C-35	Lathing and Plastering
Plumbing	C-36	Plumbing
Refrigeration	C-38	Refrigeration
Roofing	C-39	Roofing
Sanitation System	C-42	Sanitation System
Sheet Metal	C-43	Sheet Metal
Electrical Sign	C-45	Electrical Sign
Solar	C-46	Solar
General Manufactured Housing	C-47	General Manufactured Housing
Reinforcing Steel	C-50	Reinforcing Steel
Structural Steel	C-51	Structural Steel
Swimming Pool	C-53	Swimming Pool
Ceramic Tile	C-54	Tile
Trenching	D-56	Trenching
Hydro seed Spraying	D-59	Hydro seed Spraying
Air and Water Balancing	D-62	Air and Water Balancing
Construction Cleanup	D-63	Construction Cleanup
Non-Specialized	D-64	Non-specialized
Weatherization and Energy Conservation	D-65	Weatherization and Energy Conservation
Asbestos-Related Work	ASB	Asbestos Certification
Hazardous Substance Removal	HAZ	Hazardous Substance Removal Certification

ESSENTIAL QUESTIONS FOR QUALIFICATION:

An Applicant will not be pre-gualified if the response to any of the following essential questions results in a "Not Qualified" designation.

- 1. The Applicant is ineligible or debarred from submitting bid proposals for public works projects or public works contracts pursuant Labor Code §1777.1, Labor Code §1777.7, or any other reason. a. 🗆 Yes (Not Qualified) \square No
- 2. During the past five (5) years, the Applicant or any predecessor to the Applicant, or any of the equity owners of the Applicant been convicted of a federal or state crime involving fraud, theft, or any other act of dishonesty. Yes (Not Qualified) a. 🗆 No
- 3. During the past five (5) years a Surety has completed any project or the Applicant's obligations under a construction contract.
 - a. 🗆 Yes (Not Qualified) No
- 4. During the past five (5) years the Applicant been declared in default under a construction contract to which the Applicant was a party.
 - Yes (Not Qualified) a. 🗆 No
- 5. The Applicant's Worker's Compensation Insurance *current and prior five (5) year average* Experience Modification Rating ("EMR") rating over the past five (5) years is more than 1.5. No
 - a. 🗆 Yes (Not Qualified)
- 6. CAL OSHA or OSHA has cited and assessed penalties against the Applicant for "serious," "willful" or "repeat" violations of its safety or health regulations in the past five (5) years?
 - Yes (Not Qualified) No a. 🗆

NOTE: All Contractors receiving awards will be required to provide a certificate of commercial and liability insurance for a minimum amount of \$1,000,000 single occurrence and \$2,000,000 in the aggregate, including additional insured endorsement document listing the District as additional insured. Insurance requirements may be adjusted per project as needed. Contractors will also be required to provide workers compensation coverage as required by law or is legally self-insured pursuant to Labor Code §3700, unless the contractor doesn't have any employees. A payment and performance bond will also be required for 100% of the contract amount for projects valued at \$25,000 or higher. The District may request contractors to provide a list of references prior to the award of a project.

AUTHORITY AND CERTIFICATION: The undersigned is duly authorized to execute this Application under penalty of perjury on behalf of the above-identified Applicant. The undersigned warrants and represents that he/she has personal knowledge of each of the responses to this Application and/or that he/she has conducted all necessary and appropriate inquiries to determine the truth, completeness and accuracy of responses to this Application. The undersigned declares and certifies that the responses to this Application are complete and accurate; there are no omissions of material fact or information that render any response to be false or misleading and there are no misstatements of fact in any of the responses. The Applicant acknowledges and agrees that if the District determines that any response herein is false or misleading or contains misstatements of fact, the Bidder will not be deemed gualified to participate in the District's Informal Bidding procedures.

Executed this ____ day of ______, 20____ at _____

(City and State)

By:

Title: